

UTILITY

PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.

CR 1340-1

First Inventor or Application Identifier

Robert Allen Reynolds

Title

Encapsulation Protocol for Linking Storage Area networks
Over a Packet-Based Network

Express Mail Label No.

EL781633693US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 202311. ☐ Fee Transmittal for FY 2000
(Submit an original and a duplicate for fee processing)2. ☐ Specification [Total Pages] 32
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) [Total Sheets] 14. ☐ Oath or Declaration [Total Pages] 2
*unexecuted*a. ☒ (Unsigned)b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b)5. ☐ Incorporation By Reference (useable if box 4b is
checked). The entire disclosure of the prior
application, from which a copy of the oath or
declaration is supplied under Box 4b, is considered
to be part of the disclosure of the accompanying
application and is hereby incorporated by reference
therein.6. ☐ Microfiche Computer Program (Appendix)7. ☐ Nucleotide and Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer-Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))9. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee) (Unexecuted)10. ☐ English Translation Document (if applicable)11. ☐ Information Disclosure
Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations12. ☐ Preliminary Amendment13. ☒ Return Receipt Postcard14. ☐ Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☒ Other: Certificate of Express MailCheck No. 459577

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior Application No.: _____

Prior application information: Examiner _____ Group / Art Unit _____

☐ Claims the benefit of Provisional Application No. 60/165,194

CORRESPONDENCE ADDRESS

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SIGNATURE



Date: November 10, 2000

FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.

Complete if Known

AMOUNT OF PAYMENT (\$1,124.00)

Application Number
 Filing Date November 10, 2000
 First Named Inventor Roberts Allen Reynolds
 Examiner Name
 Group / Art Unit
 Attorney Docket No. CROSS1340-1

METHOD OF PAYMENT (check one)

☒ The Commissioner is hereby authorized to charge any underpayment of fees and credit any over payments to:

1. Deposit Account Number **50-0456**
 Deposit Account Name **Gray Cary Ware & Freidenrich LLP**
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	\$	Code	\$		
101	710	201	355	Utility Filing Fee	710
106	320	206	160	Design Filing Fee	
107	490	207	245	Plant Filing Fee	
108	710	208	355	Reissue Filing Fee	
114	150	214	75	Provisional Filing Fee	
SUBTOTAL (1)					(\$ 710.00)

2. EXTRA CLAIM FEES

Large Entity		Small Entity		Fee Description
Code	\$	Code	\$	
103	18	203	9	Claims in excess of 20
102	80	202	40	Indep. claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	Reissue indep. claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

Extra Claims X Fee below = from Fee Paid

Claims ☐ 43 -20** ☐ 23 ☐ 18 ☐ 414

Ind. Clms ☐ 2 -3** ☐ 0 ☐ 0 ☐ 0

Multiple Dependent Claims ☐ ☐ ☐

SUBTOTAL (2) **(\$414.00)**

**number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Entity		Small Entity		Fee Description	Fee Paid
Code	\$	Code	\$		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English Specification	
147	2520	147	2520	Filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Request publication of SIR prior to Examiner action	
113	1840*	113	1840*	Request publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1390	218	695	Extension for reply within fourth month	
128	1890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive: unavoidable	
141	1240	241	620	Petition to revive: unintentional	
142	1240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	Each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY:

Name (Print/Type) Armando Pastrana, Jr.

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(Attorney/Agent)

Complete (if applicable)

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Signature

Date

10/10/00

Gray Cary\AU4047472.1 103671-991340

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
CERTIFICATE OF MAILING BY "EXPRESS MAIL"	Atty Docket No. (Optional) CROSS1340-1
Attn: Box Patent Application Hon. Asst. Commissioner of Patents Washington, D.C. 20231	In the Application of: Robert Allen Reynolds, John Brent Haechten and Kenneth Donald Smeltzer
	Date Filed: November 10, 2000
	Title: ENCAPSULATION PROTOCOL FOR LINKING STORAGE AREA NETWORKS OVER A PACKET-BASED NETWORK

Jc914 US PTO
 09/709807
 11/10/00

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL781633693US, on November 10, 2000, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,

GRAY CARY WARE ▲ FREIDENRICH LLP



Printed Name:

Enclosures:

Postcard
 Check No. 459577 for \$1,124.00 for Utility Application Filing Fee
 Form PTO SB/05
 General Authorization Under 37 C.F.R. § 1.136(a)(3) and Fee Transmittal (+ copy)
 Specification, Claims, Abstract (32 Pages)
 Sheets of Drawings (-1 Pages, -1 Figures)
 Unsigned Declaration and Power of Attorney
 Form PTO-1595 and copy
 Gray Cary\AU\4047503.1
 103671-991340